

# Credential Authentication Technology Procurement and Deployment

September 12, 2022 Fiscal Year 2022 Report to Congress



# Message from the Office of the Administrator

September 12, 2022

I am pleased to present the following report, "Credential Authentication Technology Procurement and Deployment," which was prepared by the Transportation Security Administration (TSA).

This report was compiled in response to direction in the Joint Explanatory Statement accompanying the Fiscal Year (FY) 2022 Department of Homeland Security (DHS) Appropriations Act (P. L. 117-103). It discusses TSA's accomplishments to date in deploying the credential authentication capability at our Nation's airports, and provides TSA's plan for the deployment of additional Credential Authentication Technology (CAT) systems. TSA appreciates the funding that Congress provided in FY 2021 and FY 2022 for the



procurement of CAT systems. This funding has aided security and broader distribution of this capability.

This report is being provided to the following Members of Congress:

The Honorable Lucille Roybal-Allard Chairwoman, House Appropriations Subcommittee on Homeland Security

The Honorable Chuck Fleischmann Ranking Member, House Appropriations Subcommittee on Homeland Security

The Honorable Chris Murphy Chair, Senate Appropriations Subcommittee on Homeland Security

The Honorable Shelley Moore Capito Ranking Member, Senate Appropriations Subcommittee on Homeland Security

Inquiries relating to this report may be directed to me at (571) 227-2801 or to TSA's Legislative Affairs office at (571) 227-2717.

Sincerely,

David P. Pekoske Acting Administrator

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## **Executive Summary**

As a leader in the transportation security network, TSA employs new technologies and processes to improve aviation security effectiveness and efficiency. One of TSA's strategic priorities is to strengthen operations through powerful and adaptable detection capabilities, intelligence-driven operations, and enhanced vetting.

Transportation security officers periodically encounter individuals who attempt to use fraudulent travel documents—or documents belonging to someone else—when they present themselves for checkpoint screening. To address this threat, TSA has deployed and made a number of improvements to its CAT system. CAT is used at the checkpoint to verify the authenticity of a passenger's identification document in near real-time with the passenger's flight reservation and prescreening status through a network connection to the Secure Flight database.

TSA began deploying CAT systems in 2019, with three incremental procurements in FY 2019, FY 2020, and FY 2021. As of July 2022, there are 1,621 CAT systems deployed across 178 airports and at training and testing facilities.

TSA used FY 2021 funding to procure 1,001 CAT-1 systems and upgraded 122 of these systems to CAT-2 systems to utilize for demonstrations. Of these 1,001 CAT-1 systems, 467 CAT-1s were deployed the first quarter of FY 2022 to achieve the original full operational capability (FOC) with a total of 1,520 systems deployed. The DHS Deputy Under Secretary of Management (DUSM) approved TSA procurement of 534 additional CAT-1 systems above FOC based on the pending program re-baseline. Through July 2022, 1,621 CAT systems have been deployed. The deployment of CAT systems is expected to continue through the first quarter of FY 2023.

Additionally, FY 2021 funds were used to relocate CAT-1 systems. With CAT-2 demonstrations occurring at larger airports, 102 CAT-1 units were reallocated to 17 smaller airports, expanding the CAT footprint at Category III and IV airports. TSA has obligated the remaining funding to continue remediation efforts and deployment of CAT systems to small and rural airports. Remediation efforts ensure that the necessary infrastructure (including data connectivity capability and power) is available to support deployment of CAT systems to all security screening lanes at federalized airports.

In June, the DHS DUSM approved the CAT program re-baseline to increase the FOC quantity from 1,520 to 3,585 units and to add new capabilities, including facial-matching biometric and self-service. At current funding levels, FOC is estimated to occur in FY 2049.



# Credential Authentication Technology Procurement and Deployment

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## I. Legislative Language

This report is submitted in response to direction in the Joint Explanatory Statement accompanying the Fiscal Year (FY) 2022 Department of Homeland Security (DHS) Appropriations Act (P. L. 117-103).

The Joint Explanatory Statement states:

Credential Authentication Technology (CAT).—Within 90 days of the date of enactment of this Act, TSA shall provide a report to the Committees detailing airports at which CAT is currently deployed, airports at which CAT is not currently deployed, and a plan for the full procurement and deployment of CAT systems at all of the Nation's airports.

# II. Background

The Transportation Safety Administration's (TSA) mission is to protect the Nation's transportation systems, ensuring freedom of movement for people and commerce. To accomplish mission objectives, TSA identifies, manages, and mitigates risk in all lines of business, including employing a risk-based approach to all checkpoint operations. Transportation security officers (TSO) periodically encounter individuals who attempt to use fraudulent travel documents—or documents belonging to someone else—when they present themselves for checkpoint screening. To address this threat, TSA has deployed and made a number of improvements to its CAT systems.

CAT has a high-detection capability for identifying the following security risks of longstanding concern to TSA:

- Fraudulent self-reported data for Secure Flight (SF) passenger prescreening;
- Out-of-state boarding passes (passengers at the wrong airport);
- Fraudulent boarding passes;
- Misidentification of passengers on watch lists who require enhanced screening or denial of boarding; and,
- Identification of fraudulent identification documents (ID).

CAT closes security gaps and enhances the passenger screening process at the checkpoint by improving the inspection of IDs and by confirming the passenger's SF prescreening status. Through the integration of various technologies, CAT authenticates acceptable forms of IDs and compares passengers' information on their IDs to SF information submitted during the flight reservation process. This allows TSA to confirm that a passenger has the appropriate flight reservation to proceed through security screening.

In addition, CAT improvements support TSA's Coronavirus Disease 2019 (COVID-19) protocols by facilitating procedural, operational, and technological changes that support social distancing, limit cross-contamination scenarios, and reduce physical interaction between TSOs and passengers. For example, the current process of verifying a passenger's identity requires handing a government-issued ID to the TSO at the traveler document checker station. In response to COVID-19, TSA began allowing passengers to present their own IDs to the CAT system for verification, which eliminated the need for a TSO to handle the ID. The upgraded CAT system also allows for easy rotation of the ID and passport readers for reduced contact between the passenger and the TSO. The CAT system's upgraded design includes an acrylic barrier placed between the passenger and the TSO to reduce transmission of communicable diseases.

#### CAT Deployments to Date

• **Phase 1 Deployment:** TSA's first deployment consisted of 505 CAT systems procured in FY 2019, in addition to 47 low-rate initial production systems procured for testing and

evaluation purposes. These systems were distributed between October 2019 and March 2020. At the conclusion of this phase, all 552 CAT systems were deployed at 47 airports, the TSA Academy (located at the Federal Law Enforcement Training Centers (FLETC) in Georgia), the TSA Systems Integration Facility (TSIF), and vendor facilities. This phase deployed CAT to the larger Category X and I airports.

- **Phase 2 Deployment:** TSA's second deployment occurred between July 2020 and January 2021 and consisted of 501 CAT systems. This phase continued with Category X and I airports, but also began deployments to smaller Category II and III airports.
- **Phase 3a Deployment:** The first part of TSA's third deployment began on May 1, 2021, and ended December 29, 2021, and consisted of 467 CAT systems. This phase included all sizes of airports, including for the first time Category III and IV airports, with the pre-existing infrastructure required to support CAT operations.
- **Phase 3b Deployment:** The second part of the Phase 3 deployment started in the second quarter of FY 2022, deploying 534 systems. This deployment included smaller airports (Categories III and IV) that recently underwent site remediation to emplace the infrastructure required to support CAT operations. As of July 2022, 1,621 CAT systems were operational at 178 airports (Categories X, I, II, III and IV), the TSA Academy, and the TSIF, as shown below in **Figure 1** and **Figure 2**. Of the 1,621 units, 1,520 systems are CAT-1 units and 101 are CAT-2 systems.
- Remediation: Airport remediation work ensures that airports have the necessary electrical and data ports to connect and support CAT systems. It includes planning, permitting, and construction, including drilling, wiring, and post-construction cosmetic repairs. The CAT program has completed remediation at 83 airports as shown in Figure 1. Remediation is underway at an additional 43 airports with anticipated completion in the fourth quarter of FY 2022.

#### III. Current Status of CAT

In FYs 2020 and 2021, TSA primarily focused on expanding CAT capabilities in the field to achieve full operational capability (FOC) rather than focusing on system enhancements and site remediation. The CAT acquisition program achieved FOC of 1,520 systems in the first quarter of FY 2022, as defined at that time and as documented in the DHS-approved acquisition program baseline. To support the new FOC quantity as defined in the TSA Administrator's CAT rebaseline in June 2022, additional remediation is required and is factored into current CAT unit costs and supporting annual cost estimates. The increase on FOC was required to ensure that a CAT unit is supporting all lanes at every federalized airport, including category II, III, and IV airports.

The CAT program began the deployment of 534 CAT systems in the second quarter of FY 2022. As of July 21, 2022, 101 of these 534 additional CAT systems were deployed to 22 airports. The remaining 433 CAT systems will be deployed between the fourth quarter of FY 2022 and the first quarter of FY 2023. Thirteen airports received 101 CAT-2 upgraded systems, and 9 airports' needs were satisfied by reallocating 53 CAT-1 systems rather than by deploying upgraded systems.

Figure 1: CAT Deployment Location, Number of Systems Deployed, and Remediation<sup>1</sup>

Airport	Deployed	Name	City, State	Category	Remediation
		Albuquerque International			
ABQ	4	Sunport	Albuquerque, NM	l l	Completed
ACY	2	Atlantic City International	Atlantic City, NJ	II	
ALB	3	Albany International Airport	Colonie, NY	I	Completed
		Ted Stevens Anchorage			
ANC	4	International Airport	Anchorage, AK	I	
		Hartsfield-Jackson Atlanta			
ATL	57	International Airport	Atlanta, GA	Х	Completed
ATW	2	Appleton International Airport	Appleton, WI	II	
AUG	1	Augusta State Airport	Augusta, ME	IV	
		Austin-Bergstrom International			
AUS	22	Airport	Austin, TX	l l	Completed
AVL	3	Asheville Regional Airport	Fletcher, NC	II	
AZA	9	Phoenix-Mesa Gateway Airport	Mesa, AZ	II	Completed
BDL	5	Bradley International Airport	Windsor Locks, CT	I	Completed
BGR	2	Bangor International Airport	Bangor, ME	II	Completed
		Hancock County-Bar Harbor			
ВНВ	1	Airport	Trenton, ME	IV	
		Birmingham-Shuttlesworth			
ВНМ	7	International Airport	Birmingham, AL	l	

<sup>&</sup>lt;sup>1</sup> As of July 20, 2022.

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Airport	Deployed	Name	City, State	Category	Remediation
DII	2	Billings Logan International	Dillings NAT		
BIL	2	Airport	Billings, MT	<u>                                     </u>	
BIS	1	Bismarck Municipal Airport	Bismarck, ND	<u>                                      </u>	
BKG	0	Branson Airport	Hollister, MO	III	Completed
BLI	1	Bellingham International Airport	Bellingham, WA	II	Completed
BNA	10	Nashville International Airport	Nashville, TN		•
		· · · · · · · · · · · · · · · · · · ·	•		Completed
BOI	8	Boise Airport  Boston-Logan International	Boise, ID	l	Completed
BOS	46	Airport	Boston, MA	Χ	Completed
BPT	0	Jack Brooks Regional Airport	Beaumont, TX	IV	Completed
DI I	- 0	Baton Rouge Metropolitan	bedamont, 17	10	completed
BTR	2	Airport	Baton Rouge, LA	П	
		Burlington International	South Burlington,		
BTV	2	Airport	VT	П	Completed
		Buffalo Niagara International			
BUF	3	Airport	Buffalo, NY	l	Completed
BUR	7	Hollywood Burbank Airport	Burbank, CA	I	Completed
		Baltimore/Washington			
BWI	36	International Thurgood Marshall Airport	Baltimore, MD	Χ	Completed
DVVI	30	Bozeman Yellowstone	Baitimore, MD	^	Completed
BZN	7	International Airport	Bozeman, MT	П	Completed
CAK	4	Akron-Canton Airport	North Canton, OH	II	•
CEC	1	Del Norte County Airport	Crescent City, CA	IV	
		Charleston International	North Charleston,		
CHS	8	Airport	SC	I	
CID	3	Eastern Iowa Airport	Cedar Rapids, IA	П	
		Cleveland Hopkins			
CLE	6	International Airport	Cleveland, OH	l	Completed
CLT	20	Charlotte Douglas	Charlette NC	V	Commisted
CLT	26	International Airport John Glenn Columbus	Charlotte, NC	X	Completed
СМН	6	International Airport	Columbus, OH	1	Completed
G. C. C.		port	Colorado Springs,	<u> </u>	
cos	6	Colorado Springs Airport	СО	П	Completed
COU	0	Columbia Regional Airport	Columbia, OH	III	Completed
		West Virginia International			
CRW	1	Yeager Airport	Charleston, WV	III	Completed
		Cincinnati/Northern Kentucky			
CVG	5	International Airport	Hebron, KY	l	Completed
DAD	^	Daytona Beach International	Davidson D. J. El		C
DAB	0	Airport	Daytona Beach, FL	<u>                                      </u>	Completed
DAY	2	Dayton International Airport	Dayton, OH	II	
DCA	41	Ronald Reagan Washington National Airport	Arlington, VA	Х	Completed
		<u>.                                      </u>			Completed
DEN	21	Denver International Airport	Denver, CO	X	

Airport	Deployed	Name	City, State	Category	Remediation
DEW	20	Dallas/Fort Worth	DENA Aires and TV	V	
DFW	29	International Airport	DFW Airport, TX	X	
DLH	0	Duluth International Airport	Duluth, MN	III	Completed
DSM	3	Des Moines International Airport	Des Moines, IA	II	
DOIVI		Detroit Metropolitan Wayne	Des Mones, IA		
DTW	20	County Airport	Detroit, MI	X	
		Chippewa Valley Regional			
EAU	1	Airport	Eau Claire, WI	IV	
		Northwest Florida Beaches			
ECP	1	International Airport	Panama City, FL	II	Completed
ELP	8	El Paso International Airport	El Paso, TX	I	
EUG	0	Eugene Airport	Eugene, OR	II	Completed
		Newark Liberty International			
EWR	34	Airport	Newark, NJ	X	
FAR	1	Hector International Airport	Fargo, ND	П	
	40	Fort Lauderdale-Hollywood	Fort Lauderdale,	.,	
FLL	43	International Airport	FL	X	Completed
FNT	1	Bishop International Airport	Flint, MI	II	
FSD	2	Sioux Falls Regional Airport	Sioux Falls, SD	II	
E\A/A	2	Fort Wayne International	Fort Wayne IN		
FWA	2	Airport	Fort Wayne, IN	<u>                                     </u>	<u> </u>
GEG	10	Spokane International Airport	Spokane, WA	<u> </u>	Completed
GFK	1	Grand Forks International	Grand Forks, ND	III	
GJT	3	Grand Junction Regional Airport	Grand Junction, CO	III	
GNV	0	Gainesville Regional Airport	Gainesville, FL	III	Completed
GIVV	0	Gulfport-Biloxi International	Gairlesville, i L	111	Completed
GPT	3	Airport	Gulfport, MS	II	
		Green Bay Austin Straubel	·		
GRB	2	International Airport	Green Bay, WI	П	
CDI	4	Gerald R. Ford International	C		
GRI	1	Airport Gerald R. Ford International	Grand Rapids, MI	IV	
GRR	3	Airport	Grand Rapids, MI	ı	Completed
Onn		•	Grana Rapias, Wil		Completed
GSO	4	Piedmont Triad International Airport	Greensboro, NC	II	
030	4	Greenville-Spartanburg	Greensboro, NC		
GSP	4	International Airport	Greer, SC	II	
		Antonio B. Won Pat			
GUM	4	International Airport	Tamuning, Guam	l	
HDN	2	Yampa Valley Regional Airport	Hayden, CO	III	
HLN	2	Helena Regional Airport	Helena, MT	III	
HNL	23	Valley International Airport	Harlingen, TX	Х	Completed
НОВ	0	Lea County Regional Airport	Hobbs, NM	IV	Completed
HOU	4	William P. Hobby Airport	Houston, TX	<u> </u>	Completed
1.00	<del>_</del>	Timam T. Hoody All port	Houston, IA		Completed

Airport	Deployed	Name	City, State	Category	Remediation
HPN	2	Westchester County Airport	White Plains, NY	П	
HRL	3	Valley International Airport	Harlingen, TX	П	Completed
HSV	2	Huntsville International Airport	Huntsville, AL	П	Completed
HTS	0	Tri-State Airport	Huntington, WV	III	Completed
		Washington Dulles	, ,		, , , , , , , , , , , , , , , , , , ,
IAD	9	International Airport	Dulles, VA	Χ	Completed
		Niagara Falls International			
IAG	2	Airport	Niagara Falls, NY	III	
1011	11	George Bush Intercontinental	Haveton TV	V	
IAH	11	Airport Wichita Dwight D. Eisenhower	Houston, TX	Х	
ICT	3	National Airport	Wichita, KS	II	
IDA	1	Idaho Falls Regional Airport	Idaho Falls, ID	——————————————————————————————————————	
IDA		Indianapolis International	idano i ans, ib	111	
IND	12	Airport	Indianapolis, IN	I	Completed
ISP	4	Long Island MacArthur Airport	Ronkonkoma, NY	П	
ITO	2	Hilo International Airport	Hilo HI	ll l	Completed
JAC	3	Jackson Hole Airport	Jackson, WY		Completed
JAC	<u> </u>	Jackson-Medgar Wiley Evers	Jackson, VVI		completed
JAN	3	International Airport	Jackson, MS	П	
		Jacksonville International			
JAX	9	Airport	Jacksonville, FL	I	
		John F. Kennedy International	,		
JFK	46	Airport	Queens, NY	X	
KOA	2	Kona International Airport	Kailua-Kona, HI	I	Completed
		Capital Region International			
LAN	1	Airport	Lansing, MI	III	
LAS	60	McCarran International Airport	Las Vegas, NV	Х	Completed
1.437	0.5	Los Angeles International		v	6 1.1
LAX	95	Airport Lubbock Preston Smith	Los Angeles, CA	Х	Completed
LBB	2	International Airport	Lubbock, TX	II	
LCH	1	Lake Charles Regional Airport	Lake Charles, LA	IV	
LEB	1	Lebanon Municipal Airport	Lebanon, NH	IV	
			·		
LEX	1	Blue Grass Airport	Lexington, KY	<u>                                      </u>	
LFT	1	Lafayette Regional Airport	Lafayette, LA	III	
LGA	26	LaGuardia Airport	Queens, NY	Х	
LGB	5	Long Beach Airport	Long Beach, CA		Completed
LIH	4	Lihue Airport	Lihue, HI	ļ	Completed
LIT	-	Bill and Hillary Clinton National	tinde by the b		
LIT	5	Airport	Little Rock, AR	<u>II</u>	
LNK	1	Lincoln Airport	Lincoln, NE	III	
MAF	1	Midland International Air & Space Port	Midland, TX	II	
IVIAL	1	Space Full	ivilulatiu, IA	II	

Airport	Deployed	Name	City, State	Category	Remediation
		Kansas City International			
MCI	23	Airport	Kansas City, MO	l	Completed
MCO	28	Orlando International Airport	Orlando, FL	X	Completed
MOT	0	Harrisburg International	Maidallatarra DA		Commisted
MDT	0	Airport Chicago Midway International	Middletown, PA	<u>II</u>	Completed
MDW	12	Airport	Chicago, IL	1	
MEM	4	Memphis International Airport	Memphis, TN	I	Completed
MFE	3	McAllen International Airport	McAllen, TX	II	Completed
		Rogue Valley International			
MFR	1	Medford Airport	Medford, OR	II	Completed
NALIT	2	Manchester-Boston Regional	Manahaatan NIII		
MHT	3	Airport	Manchester, NH	<u>                                      </u>	
MIA	63	Miami International Airport Milwaukee Mitchell	Miami, FL	Х	
MKE	7	International Airport	Milwaukee, WI	1	
TVITE	,	Kansas City International	miraukee, wi	•	
MLI	1	Airport	Kansas City, MO	Ш	
МОВ	1	Mobile Regional Airport	Mobile, AL	II	Completed
MOT	1	Minot International Airport	Ward County, ND	III	
MSN	4	Dane County Regional	Madison, WI	П	
		Minneapolis-Saint. Paul	Minneapolis-St.		
MSP	16	International	Paul, MN	X	Completed
NACV	o	Louis Armstrong New Orleans	Now Orloans IA		Completed
MSY	8	International	New Orleans, LA		Completed
MTJ	2	Montrose Regional Airport	Montrose, CO	III 	
MYR	5	Myrtle Beach International	Myrtle Beach, SC	II	
OAK	10	Oakland International Airport	Oakland, CA	l	
OGG	3	Kahului Airport	Kahului, HI	I	
OMA	8	Eppley Airfield	Omaha, NE	1	
ONT	5	Ontario International Airport	Ontario, CA	I	Completed
ORD	19	O'Hare International	Chicago, IL	Χ	
ORF	12	Norfolk International Airport	Norfolk, VA	I	
PAE	3	Paine Field	Everett, WA	П	
		Plattsburgh International			
PBG	2	Airport	Plattsburgh, NY	III	
PBI	15	Palm Springs International Airport	West Palm Beach, FL	III	Completed
					·
PDX	19	Portland International Airport	Portland, OR	<u> </u>	Completed
PGD	5	Punta Gorda Newport News-Williamsburg	Punta Gorda, FL Newport News,	<u>II</u>	
PHF	2	International Airport	VA	III	Completed
					p
PHL	16	Philadelphia International	Philadelphia, PA	Х	
1116	10	i inidacipina international	i illiaucipilla, FA	^	

Airport	Deployed	Name	City, State	Category	Remediation
		Phoenix Sky Harbor			
PHX	21	International Airport	Phoenix, AZ	Χ	
		St. Pete-Clearwater			
PIE	0	International Airport	Clearwater, FL	Ш	Completed
		Pittsburgh International			
PIT	9	Airport	Pittsburgh, PA	l	Completed
PNS	2	Pensacola International	Pensacola, FL	II	
		Presque Isle International			
PQI	1	Airport	Presque Isle, ME	IV	
PSC	3	Tri-Cities Airport	Pasco, WA	II	Completed
		Palm Springs International			
PSP	5	Airport	Palm Springs, CA	II	Completed
		Rhode Island T.F. Green			
PVD	5	International	Warwick, RI	l	Completed
PWM	3	Portland International Jetport	Portland, ME	II	
		Raleigh-Durham International			
RDU	25	Airport	Morrisville, NC	1	Completed
		Rhinelander-Oneida County			
RHI	1	Airport	Rhinelander, WI	IV	
RIC	5	Richmond International Airport	Sandston, VA	I	
		Reno-Tahoe International			
RNO	10	Airport	Reno, NV	l	
		Roanoke-Blacksburg Regional			
ROA	2	Airport	Roanoke, VA	II	
		Greater Rochester			
ROC	6	International Airport	Rochester, NY	II	Completed
B 63.47	4-7	Southwest Florida	5		
RSW	17	International Airport	Fort Myers, FL	l	
SAN	22	San Diego International Airport	San Diego, CA	l	
	_	San Antonio International			
SAT	4	Airport	San Antonio, TX	l	Completed
CAN	4	Savannah/Hilton Head	Carrage CA		
SAV	4	International Airport	Savannah, GA	II	
SBA	2	Santa Barbara Municipal Airport	Santa Barbara, CA	Ш	Completed
JDA		South Bend International	Janta Barbara, CA		Completed
SBN	2	Airport	South Bend, IN	II	
JDIV		San Luis Obispo County	San Luis Obispo,		
SBP	2	Regional Airport	CA	III	Completed
SDF	6	Louisville International Airport	Louisville, KY		
301	0	Seattle-Tacoma International	Louisville, KT	<u> </u>	
SEA	32	Airport	Sea Tac, WA	Χ	Completed
J	<u> </u>	San Francisco International			copicced
SFO	38	Airport	San Francisco, CA	X	
		Springfield-Branson National	,		
SGF	2	Airport	Springfield, MO	П	
SGU	1	St George Regional Airport	St. George, UT	IV	
SHV	2	Shreveport Regional Airport	Shreveport, LA	II	
ЭПУ	۷	Sin eveport negional All port	Jillevepolt, LA	II	

Airport	Deployed	Name	City, State	Category	Remediation
		Norman Y. Mineta San Jose			
SJC	14	International Airport	San Jose, CA	I	
		Luis Munoz Marin			
SJU	9	International Airport	Carolina, PR	X	
		Salt Lake City International			
SLC	25	Airport	Salt Lake City, UT	l	
SMF	16	Sacramento International Airport	Sacramento, CA	ĺ	
JIVII	10	Santa Maria Public Airport	Sacramento, CA	<u> </u>	
SMX	1	District	Santa Maria, CA	III	
SNA	12	John Wayne Airport	Santa Ana, CA	ı	
JIVA	12	John Wayne Amport	Saipan, Northern		
SPN	2	Saipan International Airport	Mariana Islands	П	
		Sarasota Bradenton			
SRQ	6	International Airport	Sarasota, FL	П	
		St Louis Lambert International			
STL	9	Airport	St Louis, MO	X	Completed
	•	Cyril E. King International	C. T		
STT	3	Airport	St. Thomas, USVI	II	
STX	2	Henry E. Rohlsen Airport	Christiansted, St Croix, USVI	III	
SUN	1	Friedman Memorial Airport New York Stewart	Hailey, ID	III	
SWF	1	International Airport	New Windsor, NY	III	
3111		Syracuse Hancock	Trew williasor, iti		
SYR	3	International Airport	Syracuse, NY	П	
		Tallahassee International	·		
TLH	2	Airport	Tallahassee, FL	П	
TPA	38	Tampa International Airport	Tampa, FL	1	Completed
TRI	2	Tri-Cities Airport	Blountville, TN	III	
TUL	5	Tulsa Regional Airport	Tulsa, OK	ı	Completed
TUS	13	Tucson International Airport	Tucson, AZ	ı	Completed
TWF	1	Magic Valley Regional Airport	Twin Falls, ID	IV	'
TYR	0	Tyler Pounds Regional Airport	Tyler, TX	III	Completed
		McGhee Tyson Airport			completed
TYS	2	Destin-Fort Walton Beach	Alcoa, TN	II	
VPS	5	Airport	Eglin AFB, FL	II	Completed
VIS		Northwest Arkansas National	28111711 2, 12		completed
XNA	4	Airport	Highfill, AR	Ш	
YUM	1	Yuma International Airport	Yuma AZ	IV	
	1,616	p			
TSIF	4				
FLETC	1				
	1,621				

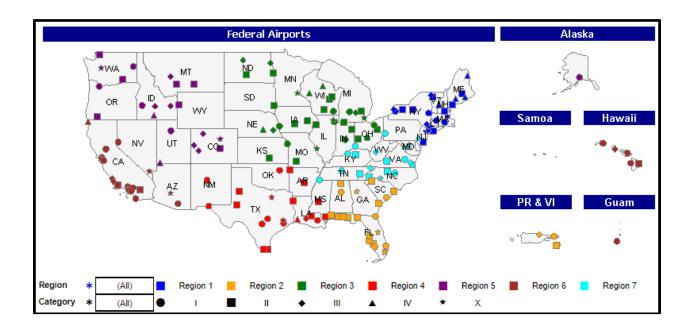


Figure 2: Deployment Locations of CAT Systems<sup>2</sup>

#### Expanding CAT Capability to All Checkpoint Lanes

Given the criticality of airport security, Congress provided additional funding to TSA in FY 2021 to deploy the CAT capability to additional airports. On March 22, 2021, the DHS Deputy Under Secretary of Management (DUSM) approved TSA's request to procure and deploy up to an additional 600 CAT systems above FOC.

TSA has re-evaluated the required quantity of CAT systems and increasing the FOC quantity has been included in the acquisition program's rebaseline. Initially, TSA calculated the original CAT FOC quantity anticipating one system per TSA PreCheck® lane and one system per two standard lanes; however, the updated requirement to meet current passenger throughput is two systems per TSA PreCheck® lane, and one system for each standard lane. This change, combined with airport growth and safety stock, substantially increases the number of CAT systems required in the field.

#### Planned Activities

• Remediation: TSA continues to conduct airport remediation using the additional funding that Congress provided in FY 2021. An additional 68 airports have completed their remediation (reflected in Figure 1) and 43 airports have ongoing remediation work. Remediation includes planning, permitting, and construction, including drilling, wiring, and post-construction cosmetic repairs at each airport being remediated. The focus of the ongoing remediation work is at smaller airports to support future CAT system

<sup>&</sup>lt;sup>2</sup> As of April 7, 2022.

deployments. Remediation is estimated to take up to 1 year to complete at each airport; therefore, funding is generally required 1 fiscal year prior to a planned CAT system deployment.

- CAT Acquisition Program Rebaseline: As directed by the DHS DUSM on November 19, 2021, TSA completed all the activities required to rebaseline the CAT acquisition program in April 2022. The DHS approval of this program rebaseline enables TSA to deploy CAT systems to all security screening lanes at federalized airports and to meet emerging operational requirements. Additionally, the rebaselined CAT acquisition program will provide TSA with the flexibility to address emerging threats rapidly (such as new fraudulent IDs), to accelerate the integration of enhanced capabilities (for example, biometrics and mobile driver's licenses), and to accommodate additional ID enhancements (for example, designation on certain IDs showing that the holder has a communication impairment).
- CAT-2 Upgrade Kits Procurement, FY 2023: TSA will award a contract for the procurement of approximately 730 CAT-2 upgrade kits for currently deployed CAT systems after a favorable operational test and acquisition decision during the first quarter of FY 2023. The upgrade kit will incorporate a self-service configuration. It also will allow for biometric verification of a passenger's identity using a camera and 1:1 facial matching (that is, the face of the passenger is matched to the face on the ID presented) as part of the ID verification process. This upgrade kit will promote social distancing and will reduce the potential for disease transmission by allowing passengers to present their own physical ID to the CAT system's ID reader. The CAT-2 design will include a Plexiglas™ barrier to minimize the transmission of communicable diseases further.
- **Future CAT Deployments:** Future deployments are prioritized based on the readiness of the airport's infrastructure and the operational risk.

## IV. Independent Oversight and DHS Response

In January 2012, the DHS Office of Inspector General (OIG) conducted a security audit (OIG-12-26) to address a security vulnerability at the checkpoint. TSA established the CAT program to address the findings of this audit. Although OIG closed out most of the recommendations in this 2019 report, it carried one related to CAT over into a subsequent report (OIG-19-21, February 2019) titled, "Covert Testing of Access Controls to Secure Airport Areas," as Recommendation #5. OIG closed out Recommendation #5 in August 2021 when 75 percent of the program FOC quantity was reached. Five of the six OIG-19-21 recommendations (all of which pertain to CAT) have been closed out. OIG considers the remaining recommendation as open and resolved, with an estimated completion date of October 31, 2023.

#### V. Conclusion

TSA completed Phase 3a deployment of 467 CAT systems in the first quarter of FY 2022, achieving the previously approved FOC quantity of 1,520 CAT systems. However, the original FOC does not meet TSA's current operational needs, which require similar levels of security at large and smaller airports. As a result, and via an acquisition program rebaseline, DHS approved an expansion of the CAT acquisition program in April 2022, to include increasing FOC by an additional 2,065 CAT systems to cover all checkpoint lanes at all federalized airports. To deploy these additional CAT systems, site remediation is a necessary priority. Site remediation ensures that airports have the necessary power and data connections to support the operation of additional CAT systems. Funding for airport site remediation generally is required 1 year prior to a planned CAT system deployment.

# Appendix. Abbreviations

Abbreviation	Definition
CAT	Credential Authentication Technology
COVID-19	Coronavirus Disease 2019
DHS	Department of Homeland Security
DUSM	Deputy Under Secretary for Management
FLETC	Federal Law Enforcement Training Centers
FOC	Full Operational Capability
FY	Fiscal Year
ID	Identification Document
OIG	Office of Inspector General
SF	Secure Flight
TSA	Transportation Security Administration
TSIF	TSA Systems Integration Facility
TSO	Transportation Security Officer